

Arizona E-File Validation Errors for Tax Year 2004

Error Categories

- 100 – 199 Generic Messages
- 200 – 299 Field Format, Content and Relationship Errors
- 900 – 999 Fatal Errors: Duplicate Return Errors, Efile Administration,
Exclusions, Etc.

The following error descriptions are based upon the 2004 Tax Year Record Layout. This signed-off error list identifies the validation checks to be included in the E-file Validation program for 2004 Tax Year.

Error ID	Error Description	Form No.	Field #	Severity Code¹
200	Each field can only contain type of data specified in record layout <ul style="list-style-type: none"> Non numeric value in numeric field 	All Forms	All numeric fields	2 (NF-CA)
201	Field sequence numbers within an unformatted record must be valid for that record	All non 140 forms – unformatted records	All	2 (NF-CA)
202	The Record ID (Field 0010) of an unformatted record contains an invalid entry <ul style="list-style-type: none"> Taxpayer ID number does not match the Primary SSN (Field 0003) of tax form Schedule of form is invalid for E-file 	All non 140 forms – unformatted records	0010, 0003	2 (NF-CA)
203	Tax Form – Incomplete direct deposit/debit information If any of the following direct deposit/debit fields are significant, all must contain valid entries: Direct deposit/debit indicator (Field 0024) must contain 1 or 2, Routing Transit Number (Field 0030) must contain nine numeric characters and Account Number (Field 0035) must be nonzero. Checking Account Indicator (Field 0040) OR Savings Account Indicator (Field 0048) must equal "X" but both cannot equal "X".	All 140's	0024, 0030, 0035, 0040, 0048	2 (NF-CA)
204	Primary last name (Field 0060) and first name (Field 0070) are required fields	All 140's	60, 70	2 (NF-CA)
205	Spouse last name (Field 0065), first name (Field 0070) and Spouse SSN (Field 0055) are required fields when Field 0305 filing status is 1 - Married filing joint return.	All 140's	55, 65, 70, 305	2 (NF-CA)
206	Spouse last name (Field 0065), first name (Field 0070) and Spouse SSN (Field 0055) must be blank when Field 0305 filing status is 2 - Head of Household or 4 - Single, 3- Married Filing Separate	All 140's	55, 65, 70, 305	2 (NF-CA)
207	Electronic Payment/Refund – If Incomplete Routing and/or Account Number.	All 140's	0024, 0305	2 (NF-CA)
208	When Electronic Funds Withdrawal Date (in Field 0305) contains value, Direct Debit Indicator (Field 0024) must be "2".	All 140's	0024, 0305	
209	Form 140EZ - Filing Status (Field 0305) can only be 1 - Married filing joint return or 4 - Single.	140EZ	0305	2 (NF-CA)
210	Forms 140, 140A, 140PY and 140NR – Filing status (Field 0305) can only be 1 – Married filing joint return, 2 – Head of Household, 3 – Married filing separate or 4 – Single.	140, 104A, 140PY, 140NR	0305	2 (NF-CA)
211	Forms 140, 140A, 140PY and 140NR – Exemption for Age 65 or over (Field 0305) cannot be greater than 2	140, 104A, 140PY, 140NR	0305	2 (NF-CA)
212	Forms 140, 140A, 140PY and 140NR – Exemption for Blind (Field 0305) cannot be greater than 2	140, 104A, 140PY, 140NR	0305	2 (NF-CA)

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213	"Date of Return" in Alphanumeric Field 2 (Field 0305) is either invalid, ex: 20040230, or a future date	All 140's	0305	2 (NF-CA)
214	Forms 140, 140PY and 140NR - Standard/Itemized Deduction flag (Field 0305) must be S or I	140, 140PY, 140NR	0305	2 (NF-CA)
215	"Credit Boxes" in Alphanumeric Field 2 (Field 0305) contain invalid entry. "Valid entry = twelve numerals in any combination of 302, 304, 305, 306, 307, 308, 309, 310, 312, 313, 314, 315, 316, 318, 319, 320, 321, 322, 323, 325, 326, 327, 328, 329, 330, 331"	140 Generic	0305, 0460	2 (NF-CA)
216	Forms 140, 140PY and 140NR - Political Party entry (Field 0305) must be 0, 1, 2 or 3	140, 140PY, 140NR	0305	2 (NF-CA)
217	Forms 140, 140PY and 140NR - If Estimated Payment/MSA Penalty (Field 0575) is significant, at least one of the following flags in Field 0305 must contain "Y": "Form 221" or "MSA Penalty"	140, 140PY, 140NR	0305, 0575	2 (NF-CA)
218	Forms 140, 140PY and 140NR - "Annualized/Other" box (Field 0305) must be "Y", "N" or blank	140, 140PY, 140NR	0305	2 (NF-CA)
219	Forms 140, 140PY and 140NR - "Farmer/Fisherman" box (Field 0305) must be "Y", "N" or blank	140, 140PY, 140NR	0305	2 (NF-CA)
220	Forms 140, 140PY and 140NR - "Form 221" box (Field 0305) must be "Y", "N" or blank	140, 140PY, 140NR	0305	2 (NF-CA)
221	"Extension code" in Alphanumeric Field 2 (Field 0305) can only be "D", "F" or blank	All 140's	0305	2 (NF-CA)
222	If Clean Election Fund Tax Reduction (Field 445) is significant, at least one the following must contain "Y": Filer "Clean Election Fund Tax Reduction" flag or Spouse "Clean Election Fund Tax Reduction" flag.	All 140's	0305,	2 (NF-CA)
223	Spouse "Clean Election Fund Tax Reduction" in Alphanumeric Field 2 (Field 0305) is "Y" when return filing status (also Field 0305) is something other than "1", Married Filing Joint Return.	All 140's	0305	2 (NF-CA)
224	Forms 140, 140A, 140EZ - Residency Status (Field 0305) Code must be 0 - Arizona resident.	140, 140A, 140EZ	0305	2 (NF-CA)
225	Form 140PY - Residency Status Code (Field 0305) must be 1 - Part year resident nonactive military or 2 - Part year resident active military.	140PY	0305	2 (NF-CA)
226	Form 140NR - Residency Status Code (Field 0305) must be 1 - Nonresident, 2 - Nonresident active military or 3 - Composite return	140NR	0305	2 (NF-CA)
227	Forms 140, 140NR and 140PY - MSA Penalty Box (Field 0305) must be "Y", "N" or blank	140, 140NR and 140PY	0305	2 (NF-CA)
228	Form 140EZ - Clean Election Fund (Field 0305) Contribution Oval must be "Y", "N" or blank	140EZ	0305	2 (NF-CA)
229	Form 140A - Std deduction (Field 0410) must be \$4050 or \$8100	140A	0410	2 (NF-CA)
230	Form 140EZ - Total deduction and personal exemption (Field 0420) must be \$6150 or \$12,300	140EZ	0420	2 (NF-CA)
231	"Tax Minus Credits" (Field 0465) cannot be less than zero	140A,	0465	2 (NF-CA)

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232	"Balance of Tax" (Field 0475) cannot be less than zero	All 140's	0475	2 (NF-CA)
233	Primary SSN (Field 0003) was used as a Secondary SSN, or Secondary SSN (Field 0055) was used as a Primary SSN, on an accepted E-file return with filing status other than 1 – Married Filing Joint Return.	All 140's	0003, 0055, 0305	2(CA)
234	Primary SSN (Field 0003) was used as a Secondary SSN, or Secondary SSN (Field 0055) was used as a Primary SSN, on another return is in process by AZ DOR.	All 140's	0003, 0055	2(CA)
235	Telephone number provided is not in required format (XXX-XXX-XXXX)	All 140's	0115	2(CA)

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900	Primary SSN (Field 0003) was used as a Primary SSN more than once on E-filed returns.	All 140's	0003	3 (F)
901	Secondary SSN (Field 0055) was used as a Secondary SSN more than once on E-filed returns.	All 140's	0055	3 (F)
902	Primary SSN (Field 0003) was used as a Secondary SSN, or Secondary SSN (Field 0055) was used as a Primary SSN, on an accepted E-file return with filing status 1- Married Filing Joint Return.	All 140's	0003, 0055, 0305	3 (F)
903	Return from "turned off" ERO	n/a	0023	3 (F)
904	Return from unknown software developer	n/a	0300	3 (F)
905	Return from "turned off" software developer	n/a	0300	3 (F)
906	Tax Form – "Tax Year Ending" can only be 2004 and "Tax Month Ending" must be 12 (Field 0305)	All 140's	0305	3 (F)
907	E-Signatures: If first position character is "N", next three positions must all be "N" If second position is "N", third and fourth positions must be "N" If second position is "Y" and return filing status is Married Filing Joint (field 305, position 13 is "1"), third position must be "Y" If second position is "Y" and return filing status is not Married Filing Joint (field 305, position 13 is not "1"), third position must be blank.	ALL 140's	0025, 0030, 0060	3 (F)
908	Forms 140A and 140EZ - AZ Taxable Income (Field 0425) must be less than \$50,000	140A, 140EZ	0425	3 (F)
909	Forms 140 and 140A - Property Tax Credit (Field 0500) must be zero. E-file not permitted if claiming property tax credit.	140, 140A	0500	3 (F)
910	Forms 140, 140PY and 140NR – Other Refundable Credits (Field 0505) must be zero. E File not permitted when claiming these credits.	140, 140PY, 140NR	0505	3 (F)
911	When Direct Debit Payment is indicated (Field 0024): For tax returns with a date of return (Field 0305) on or before April 15 of the current processing year, the requested Electronic Funds Withdrawal Date (Field 0305) cannot be later than April 15. -or- For returns filed after April 15, the direct debit date must be equal to the date of the return. Future dates are not accepted after April 15.	All 140's	0024, 0305	3 (F)
912	If Form 131 Flag (Field 0310, Position 5) is "P" or "S", indicating that a Form 131 is attached, the tax return filing status must be "Married Filing Joint" (Field 0305, Position 13 = "1")	All 140's	0305, 0310	3 (F)
913	Form 131 – Form is incomplete or contains invalid entries.	131	0025, 0030, 0060	3 (F)
914	When Primary Spouse (P) is decedent, E-Signature invalid.	140, 140A, 140EZ, 140NR, 140PY	310, Position 5	3 (F)
915	When Secondary (S) is decedent, E-Signature invalid.	140, 140A, 140EZ, 140NR, 140PY	310, Position 5	3 (F)
916	Aid to Education Donation must be equal to amount of refund (Field 530).	140, 140A 140NR, 140PY	530	3(F)

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917	Form 140, 140A, 140NR, and 140PY Filler (Fields 390 and 600) must be blank.	140, 140A 140NR, 140PY	390 600	3(F)
918	E-Signature – State-only Arizona prohibits the use of E-Signatures on State-only Returns (Field 0019), e-signature fields must be all "N" or all blanks.	All 140's	0049, 0310, 0305	3 (F)
919	Primary "Date of Birth" is incomplete or missing.	All 140's	315, Position 52-59	3 (F)
920	Secondary "Date of Birth" is incomplete or missing. Must be present when MFJ	All 140's	305, Position 13 315, Position 60-67	3 (F)
921	When "Subtotal of Credits" is greater than zero, at least one valid, nonblank, nonzero form number is required, "Valid Credit Forms are: 302, 304, 305, 306, 307, 308, 309, 310, 312, 313, 314, 315, 316, 318, 319, 320, 321, 322, 323, 325, 326, 327, 328, 329, 330, 331.	140 Generic	0305, 0460	2 (NF-CA)
999	AZ DOR decision to reject return due to one or more unresolved error conditions.	n/a	n/a	3(F)

¹ Severity Code Definitions:

- 1 – Non fatal, error reported but return is accepted (NF-A).
- 2 – Non fatal, error results in return being "conditionally accepted" (NF-CA).
- 3 – Fatal, error causes return to be rejected (F).